



09/17/01

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PATENT

Customer No. 22,852

Attorney Docket No.: 7451.0001-17

InterTrust Ref. No.: IT-5.3.1.1 (US)

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231 on September 14, 2001. Express Mail Label No.: EL589697306US

Signed:

Mary A. McCauley

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

)

)

Karl L. GINTER, et al.

) Group Art Unit: 2132

)

Serial No.: 09/764,370

) Examiner: Unassigned

)

Filed: January 19, 2001

)

)

For: SYSTEMS AND METHODS FOR
SECURE TRANSACTION
MANAGEMENT AND ELECTRONIC
RIGHTS PROTECTION

)

)

Attention: **BOX MISSING PARTS**Assistant Commissioner for Patents
Washington, DC 20231RESPONSE TO INCOMPLETE REPLY

We respond to the Notice of Incomplete Reply dated August 28, 2001 (and original Notice to File Missing Parts dated April 27, 2001) by submitting, as requested, a substitute single-sided specification.

In a previous response dated July 20, 2001, Applicants submitted, as requested by the Examiner, substitute drawings with appropriate margins. Applicants now resubmit, as requested by the Examiner, another copy of the substitute drawings together with a statement that the drawings contain no new matter.

Applicants also enclose a Petition for Extension of Time and check for \$780.00, which represents a three-month extension of time fee in the amount of \$890.00 minus the one-month extension of time fee of \$110.00 paid with Applicant's first Response to Notice to File Missing Parts dated July 20, 2001.



Please associate the enclosed papers with the application, grant any extensions of time required to enter this response, and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: September 14, 2001

By: Linda J. Thayer
Linda J. Thayer
Reg. No. 45,681

TEN DOLLARS DUE SEPTEMBER

LAW OFFICES

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/764,370	01/19/2001	Karl L. Ginter	7451.0001-17

22852
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 WASHINGTON, DC 20005

CONFIRMATION NO. 9046

FORMALITIES LETTER



OC00000006484016

Date Mailed: 08/28/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, doesnot include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may,however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a)accompanyed by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- A substitute specification in compliance with 37 CFR 1.52 because:
 - The specification cannot be scanned or properly stored. Page(s)
 - do not contain writing on only one side of the page. *The drawings filed are unacceptable because: Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.*

A copy of this notice MUST be returned with the reply.

Request w/old pages
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED

AUG 29 2001

FINNEGANT, HENDERSON,
 FARABOW, GARRETT & DUNNER, LLP.

Docketed 8/28/01 Attorney CPIK/JT

Case 07451-0001-17

Due Date 9/27/01 w/EXT

Action SUBSTITUTE SPECIFICATION

By

8-29-01